



高朋律師事務所  
GAOPENG & PARTNERS



## Li Jun

Senior Partner

📍 Beijing

Email: [Lijun@gaopenglaw.com](mailto:Lijun@gaopenglaw.com)

Telephone: 010-5924 1108

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### Areas of practices

Corporation and Investment, Securities and Capital Market, Civil and Commercial Dispute Resolution,

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### Work experience

From June 2018 to now, as a social lawyer, I have mainly engaged in legal advisory work in commercial contract review, securities funds, commercial arbitration, energy law, and other aspects, providing professional, standardized, and efficient legal services to multiple state-owned companies and financial enterprises, energy enterprises, listed companies, and public companies.

From April 2011 to May 2018, while concurrently serving as a lawyer for Pacific Securities Co., Ltd., he was mainly engaged in dispute resolution (securities company arbitration and litigation), contract review, public fund preparation (responsible for supervision, audit, compliance, and risk control), and academic research and exchange of securities law.

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### Social positions

Current concurrent position:

Member of the 11th Beijing Lawyers Association Commercial Arbitration Law Professional Committee

Arbitrator of Pu'er Arbitration Commission/Lance Mekong International Arbitration Court

Director of Beijing Economic Law Society

Independent Director of Luyang Energy Conservation, A Share Listed Company

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## Educational background

Graduated from Peking University Law School with a master's degree in law  
China Youth University of Political Science Bachelor of Law

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## Work experience

From March 2019 to present, he has been a senior partner lawyer of Beijing Gaopeng Law Firm (during the period, from June 2019 to present, he concurrently served as a director of the Beijing Economic Law Society, from December 2020 to present, he concurrently served as an arbitrator of the Pu'er Arbitration Commission/Lance Mekong International Arbitration Court, from April 2021 to present, he concurrently served as an independent director of Luyang Energy Conservation, an A-share listed company, and from October 2021 to present, he concurrently served as a member of the 11th Beijing Lawyers Association Commercial Arbitration Law Professional Committee). Engaged in legal advisory work in commercial contract review, securities funds, commercial arbitration, energy law, etc.; As an arbitrator, complete the trial and adjudication of cases designated by the Pu'er Arbitration Commission; As an independent director of Luyang Energy Conservation (securities code 002088), I perform my duties according to law.

From June 2018 to March 2019, he was a practicing lawyer at Beijing Shengwei Law Firm.

From April 2011 to May 2018, he concurrently served as a lawyer of Pacific Securities Co., Ltd. During the period, he was elected as a director of the China Securities Law Research Association in October 2011, with a term of office of five years; He was also a member of the 10th Beijing Lawyers Association Company and Public Lawyers Working Committee; Engaged in dispute resolution, contract review, public fund preparation (responsible for supervision, audit, compliance, and risk control), and academic research and exchange of securities law.

From June 2008 to April 2018, he successively served as the Deputy General Manager and General Manager of the Human Resources Department of Pacific Securities Co., Ltd. (since December 2009), responsible for human resources management. During this period, he successively obtained the qualifications of securities practitioners and fund practitioners. In December 2008, he was elected as a director of the Securities Law Research Association of the China Law Society; In 2016, he passed the Securities Investment Law Knowledge Examination for Senior Managers of Public Offering Funds of the China Securities Investment Fund Industry Association.

From June 2003 to May 2008, he successively served as the Deputy Director of the Business Development Department and the Comprehensive Office of the Asset Management Center of the China Council for the Promotion of International Trade, responsible for comprehensive affairs and property rights registration of affiliated enterprises; Participated in organizing activities such as contract review of enterprises and institutions, economic and trade arbitration, restructuring and listing, and international economic and trade exhibitions. During the period, the Professional and Technical Review Committee of the China Council for the Promotion of International Trade awarded the title of Associate Researcher (Legal Arbitration) in 2007.

From July 1994 to June 2003, I worked as a cadre in the Personnel Department of the China Council for the Promotion of International Trade (during which I was seconded to the special case team of China Huayang Technology and Trade Group Co., Ltd. to assist in the investigation of economic cases for more than two years), mainly engaged in cadre management, organizational structure, assessment, appointment, and punishment, and other aspects of work.

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## Representative cases

### Anti monopoly

- Special legal advisor for concentration of business operators of Tongfang Co., Ltd. (China Nuclear Capital Merger and Acquisition)

### **Foreign trade and trust**

- Assist Beijing Kangshundefu Import and Export Trade Co., Ltd. in recovering trust arrears of 90 million yuan

### **Energy and Commercial Arbitration**

- Special legal advisor for Jiangtian New Energy Trading (Yangzhou) Co., Ltd. in the arbitration case of China International Economic and Trade Arbitration Commission; Special legal advisor for Tibet Caibang Energy Equipment Co., Ltd. in the arbitration case of the Beijing Arbitration Commission; Cooperation with China Huadian Technology and Industry Group Co., Ltd.'s non litigation business lawyer base

### **Corporate and Investment**

- Disposal of equity investment disputes between Shenzhen Qianhai Lichangrong Venture Equity Investment Enterprise (limited partnership) and a cultural media company

### **Factoring companies**

- Perennial legal advisor to Datang Commercial Factoring Co., Ltd. (2020-2021)

### **Financial company**

- Perennial Legal Adviser to China Huadian Group Finance Co., Ltd. (2020-2023)

### **Fund companies**

- Special legal advisor for public fund product raising of Guorong Fund Management Co., Ltd; Special legal counsel for significant changes in the management of Nanjing Star Dou Private Equity Fund Management Co., Ltd; Special legal advisor for Guangdong Jiuke Private Equity Investment Fund Management Co., Ltd. in the arbitration case of China International Economic and Trade Arbitration Commission;

### **Listing and Listing**

- Listing on the New Third Board of a joint-stock company in Shandong Rizhao; Legal services for Chinese companies going overseas (Australia, Germany) for listing

### **Securities and Litigation**

- Special legal advisor for the financial strategic planning project of Chongqing Shuangfu Construction Development Co., Ltd. in cooperation with Hongta Securities Co., Ltd; Special legal advisor for creditor's rights recovery in stock pledge repurchase dispute cases of Guoxin Securities Co., Ltd; Special legal counsel for shareholder lawsuits against Shandong Longli Biotechnology Co., Ltd., Huaying Securities, Lixin Certified Public Accountants (special general partnership) and other major securities misrepresentation liability disputes

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## **Awards**

In 2005, he was awarded the title of "Outstanding Communist Party Member of the China Council for the Promotion of International Trade from 2000 to 2004" by the Party Committee directly under the China Council for the Promotion of International Trade (China Chamber of International Commerce);

Won the title of "Outstanding Employee of Pacific Securities Co., Ltd. in 2008 and Excellent Party Member of 2010-2011";

In recent years, at the invitation of the Law School of Tsinghua University, I have served as the judge of four "Lilu Cup" national college mock court competitions, and received a letter of gratitude from the organizing committee of the Lilu Cup national college mock court competition.

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## Working language

- Chinese
- English