



高朋律師事務所  
GAOPENG & PARTNERS



## Xie Xiangyang

Senior Partner

📍 Shanghai

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### Areas of practices

Corporation and Investment, Bank, Finance Trust,

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### Work experience

Since 1996, Mr. Xie Xiangyang has been practicing since 1996, including China Development Bank Head Office and Shanghai Branch, Bank of Communications Head Office and Shanghai Branch, China Construction Bank Shanghai Branch, Postal Savings Bank of China Shanghai Branch, Shanghai Pudong Development Bank, Bank of Shanghai, China Merchants Bank Head Office and Shanghai Branch, Wuxi Branch, Shanghai Rural Commercial Bank Head Office, Zheshang Bank Co., Ltd., Xiamen International Bank Shanghai Branch, Shanghai Municipal Education Commission, Shanghai Jing'an District People's Government (including District State-owned Assets Supervision and Administration Commission and District Investment Office), Shanghai Minhang District People's Government, Shanghai Foreign Investment Promotion Center, China COSCO Group Shanghai Ocean Shipping Co., Ltd., Shanghai Construction Group Co., Ltd., Shanghai Urban Investment Highway Investment (Group) Co., Ltd., Shanghai Jing'an State-owned Assets Operation Co., Ltd., Shanghai Jing'an Investment (Group) Co., Ltd., Shanghai Jingtou Equity Investment Fund Management Co., Ltd., Shanghai Daan Enterprise Co., Ltd., BOCOM Kanglian Asset Management Co., Ltd., BOCOM Financial Asset Investment Co., Ltd., Shanghai International Trust Co., Ltd., Zhongtai Trust Co., Ltd., Lujiazui International Trust, Huabao Trust, Sichuan Trust, Ping An Insurance, Shanghai Midtown Alliance Investment Management Co., Ltd., Shanghai Lutou Asset Management Co., Ltd., Ludian Fund Management (Beijing) Co., Ltd., Aijian Zeying Investment Management Co., Ltd., Zheshang International Financial Assets Trading Center, Zhejiang Financial Assets Trading Center, Noah (China) Holdings Co., Ltd., Shanghai Guozhi Smart City Equity Investment Fund Co., Ltd., Zhongcheng New Industry Holdings (Shenzhen) Co., Ltd., Shanghai Huangpu Yuyuan Microcredit Co., Ltd., Shanghai Shunhao New Material Technology Co., Ltd. (SZ002565), East China Normal University, Shanghai Institute of Sport, Ferrari Maserati Automobiles International Trading (Shanghai) Co., Ltd., New World Department Store (China) Investment Co., Ltd., Willis Insurance Brokers Co., Ltd., Hengxin Financial Leasing Co., Ltd., Century Publishing Group Co., Ltd., Alstom Transport Co., Ltd., Alstom Power Transmission and Transformation Co., Ltd. and many other Fortune 500 companies provide litigation and non-litigation services involving project financing, investment and mergers and acquisitions, labor arbitration, intellectual property rights, etc., and have rich practical experience in solving crises in enterprise operation.

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## Social positions

External expert of Shanghai Huangpu District Government Investment Fund Expert Advisory Committee  
External expert of Shanghai Jing'an District Government Investment Fund Expert Advisory Committee  
Neutral evaluator of Shanghai Financial Consumer Mediation Center  
Specially appointed external director of Shanghai Jing'an State-owned Assets Supervision and Administration Commission  
Member of the Academic Committee of Shanghai International Finance Center Research Institute  
Outside director of Shanghai Jing'an Urban Development (Group) Co., Ltd

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## Educational background

Bachelor of Laws, Nanjing Normal University  
EMBA Master, Antai College of Economics and Management, Shanghai Jiao Tong University

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## Work experience

Mr. Xie Xiangyang joined Gaopeng Law Firm in 2001 and served as a lawyer and partner at Beijing Gaopeng Law Firm from 2001 to 2004, and has served as the director and managing partner of Gaopeng (Shanghai) Law Firm since February 2004.

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## Representative cases

### Investment and financing

- Provided a series of complex and difficult special legal services such as due diligence, project compliance, equity financing, restructuring and mergers and acquisitions, and debt dispute resolution for a well-known Taiwan-funded commercial real estate developer in Zhejiang, Guangdong and other real estate development projects
- Provided special legal services for a well-known domestic investor to invest in Tencent RMB co-investment fund, providing special legal services such as transaction structure demonstration, due diligence, legal document compliance review, etc., with an investment amount of more than 200 million yuan
- In the equity project of Alibaba Group's investment in a game company, he represented the game company in business negotiations, investment document review and special legal services with Alibaba Group, with an investment amount of more than 400 million yuan
- Provided special legal services for a listed company's equity investment in a potash fertilizer company project and a lithium battery company project in a certain place to provide transaction structure demonstration, due diligence, legal document compliance review, etc., with a total investment amount of more than 500 million yuan
- Provide perennial and special legal services for the professional platform of a national legal technology and dispute resolution service provider, including but not limited to the top-level system design of the platform and subsidiary equity structure, the design, construction and improvement of equity incentive model, the selection and risk assessment of foreign investment projects, the risk prevention and dispute resolution of equity disputes between the platform and subsidiaries, etc

### Banking and Finance

- He served as the perennial and special legal counsel of China Development Bank Head Office and Shanghai Branch, Bank of Communications Head Office and Shanghai Branch, Postal Savings Bank of China Shanghai Branch, Bank of Shanghai and other banking and financial institutions, and provided diversified special legal services such as syndicated loans (with a total target of tens of billions of yuan), disposal of non-performing loans, resolution of bill disputes, compliance demonstration of innovative financial projects, resolution of major public opinion risks, and liability for damages
- Represented Shanghai International Trust Co., Ltd., Lujiazui International Trust Co., Ltd., Zhongtai Trust Co., Ltd., Sichuan Trust Co., Ltd., Huabao Trust Co., Ltd. and other trust financial institutions in providing litigation legal services, with a cumulative target of tens of billions of yuan, and the cases handled have achieved favorable litigation results for trust companies to the greatest extent
- Provided special legal services for the whole process of "fundraising, investment, management and withdrawal" for dozens of fund products issued by multiple private equity fund managers and served as project leaders, including but not limited to project transaction structure design, project due diligence, legal document compliance review, etc

### **State-owned assets and state-owned enterprises**

- He served as the perennial legal counsel of the Shanghai Municipal Education Commission, the Shanghai Foreign Investment Promotion Center, the Shanghai Jing'an District People's Government (including the District State-owned Assets Supervision and Administration Commission and the District Investment Office) and other governments and relevant departments, and provided special legal services such as the demonstration of major state-owned assets regulatory documents, compliance support for dozens of state-owned projects, major dispute resolution, and state-owned enterprise reform
- It has provided perennial and special legal counsel for Shanghai and regional state-owned enterprises such as China COSCO Group Shanghai Ocean Shipping Co., Ltd., Shanghai Construction Group Co., Ltd., Shanghai Urban Investment Highway Investment (Group) Co., Ltd., Shanghai Jing'an State-owned Assets Operation Co., Ltd., Shanghai Jing'an Investment (Group) Co., Ltd., Shanghai Jingtou Equity Investment Fund Management Co., Ltd., Shanghai Da'an Enterprise Co., Ltd., etc., including government industrial fund due diligence, state-owned enterprise mixed reform, bond issuance, major investment and other projects
- As an external evaluation expert of Shanghai Huangpu District Government Industrial Investment Fund, he participated in the expert review of a number of major industrial investment projects such as the introduction of a 100 billion yuan fund of funds into Shanghai Huangpu District Project, a financial technology special fund project, the Shangnanpu land urban renewal project, and the Industrial Upgrading and Science and Technology Innovation Investment Fund project

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## **Awards**

2011-2014 National Outstanding Lawyer

Intermediate lawyer title

Company professional level assessment certification

Financial securities insurance professional level assessment certification

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## **Working language**

- Chinese

